



**WARREN COUNTY, NORTH CAROLINA  
CAPITAL IMPROVEMENT PROGRAM**

**FY 09 – FY 13**

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## TABLE OF CONTENTS

### **The CIP Preparation Process**

Introduction .....	1
Funding Priorities .....	1
Preparing Departmental Proposals .....	2
Review and Recommendation .....	2

### **General Information**

Introduction .....	2
Definition of Capital Improvement Program Projects .....	2
CIP Project Criteria .....	2
CIP Project Categories .....	3
Elements of a Capital Improvement Project .....	3
Financing the Capital Improvement Program .....	4

### **Warren County Debt Summary**

General Fund - General Obligation and Installment Purchase Debt .....	6
Enterprise Funds - General Obligation and Installment Purchase Debt .....	8
Warren County Debt Summary FY 09-FY 13 .....	10
Warren County FY 08-FY 13 Debt Service Summary .....	12

### **Warren County FY 09-13 CIP Projects**

Parks and Recreation .....	15
General County Projects .....	16
Library/Community Meeting Room .....	17
EMS Satellite Facilities .....	18
Courthouse Annex Building Renovation Project.....	19
National Guard Armory Renovation Project .....	20
Water and Sewer Capital Projects .....	21

### **Warren County CIP Forms**

Capital Purchase Justification Form .....	26
New Equipment Request Form .....	27
Facilities Committee Recommendations Form .....	28

## The CIP Preparation Process

### Introduction

The Capital Improvement Program (CIP) is a statement of Warren County's policy regarding long-range physical development. The CIP is vital to the County because it is the principal planning tool designed to achieve growth and development. By providing a planned schedule, cost estimates, and location of public sector improvements, the CIP provides private sector decision makers with valuable information on which to base investment decisions.

A CIP also eliminates or reduces the need for emergency/unplanned expenditures. A CIP assures that capital projects are well thought out in advance of construction, and establishes priorities in a comprehensive framework rather than through many piecemeal decisions.

In summary, the CIP provides local elected officials and the public with valuable information concerning proposed public facilities and their associated costs. Ultimately, this coordination of orderly and efficient programs of private and public investment will benefit the County.

### Funding Priorities

A project will receive higher priority if one or more of the following conditions (in no particular order) apply:

- Mandated by law or official Board of County Commissioners' policy and/or goals.
- Currently funded or if specific funding sources are available.
- A separate but integral part of another project – a project whereby exclusion from the CIP diminishes the effectiveness of another project.
- Essential to the implementation of programs previously adopted by the Board of County Commissioners.
- Addresses a particular risk to public safety or health.
- Furthers the County's goals of orderly growth as set forth in the County's Comprehensive Land Use Plan.
- Totally self-supporting.
- Takes advantage of opportunities that now exist but would not be available at a later date.
- Results in more economical, efficient, or effective delivery of County services; such as projects that may have multiple uses.

## Preparing Department Proposals

Department Heads are responsible for submitting all proposals for Capital Improvement Program Projects that fall within their jurisdiction to the Facilities and Capital Improvement Committee for consideration and recommendation for inclusion in the CIP. This process occurs as part of the annual budget schedule.

## Review and Recommendation

The Facilities and Capital Improvement Committee will review and rate all proposals submitted for inclusion in the CIP. Those projects ranked as Tier I based on the tier criteria outlined in the Facilities Committee Recommendations Form for New Equipment and Capital Requests will be recommended to the County Manager for inclusion in the CIP. (See form in the Forms Section).

## General Information

### Introduction

There are numerous ways to define a Capital Improvement Program Project. To ensure that all Department Heads have the same understanding, the following criteria should be used when determining which projects belong in the CIP:

### Definition of Capital Improvement Program Projects

CIP projects represent major non-recurring capital expenditures for the following types of projects:

- Construction, purchase, or major renovations of buildings, utility systems or other physical structures.
- Purchase of land.
- Stimulation of economic development and housing, including infrastructure improvements.
- Purchase of major capital equipment.
- Purchase of major computer and communications systems.

### CIP Project Criteria

- Projects must have a useful life of greater than 10 years.
- Estimated project costs must be \$50,000 or more. \$50,000+ for equipment and/or computer/communications systems.

## CIP Project Categories

Projects will be categorized and placed in one of the following categories in the CIP:

- Culture and Recreation – Projects that would enhance the quality of life through recreational and cultural opportunities, includes development of satellite parks in the Recreation Master Plan.
- General County Projects – Projects related to the provision, maintenance or expansion of County buildings and facilities.
- Public Protection – Projects that improve the County’s ability to protect lives, i.e. Emergency Medical Services satellite facilities.
- Technology – Projects that provide for improvements in the County’s technology system.
- Water – Projects related to the expansion, maintenance or improvement of the County’s public water system.
- Wastewater – Projects related to the expansion, maintenance or improvement of the County’s sanitary sewage systems.
- Economic and Physical Development – Projects designed to increase the County’s ability to attract and retain businesses and industries, i.e. Triangle North – Warren County certified industrial site.

## Elements of a Capital Improvement Project (CIP)

The following elements may be a part of a CIP Project:

- Planning/Design: includes architecture and engineering expenditures for the initial design and plan reviews, including environmental studies to deem the property worth constructing or renovating as planned.
- Land: all expenditures relating to the acquisition of land, surveying, and preliminary preparation of land.
- Construction: all expenditures for new structures (buildings, utility systems, and related structures, and recreational facilities) including planning, feasibility, design, architectural and engineering services, construction, landscaping, and legal expenses. This category also includes major replacement or reconstruction in excess of \$50,000. Major repair or maintenance projects should be included if they are of a nonrecurring nature and add significantly to the usefulness of the original structure.
- Equipment and Furnishings: all expenditures for the initial equipment, furnishing, and accessories for new or renovated structures.

- Contingency: based upon costs associated with specific projects. This should be a reasonable and customary amount.

The following elements should not be a part of a CIP Project:

- Motorized equipment purchases: unless they are a part of the furnishings and equipment for a larger project.
- Capital expenditures that are recurring in nature, which in essence are maintenance or repair costs should not be included in the CIP. These costs should be included in departmental operating budgets. Only those costs associated with enlarging, improving, or adding to a fixed asset should be included in the CIP.

### Financing the Capital Improvement Program

Long-range capital planning eliminates or reduces the need for emergency/unplanned expenditures, assures that capital projects are well thought out in advance of construction, establishes priorities in a comprehensive framework, and aids in stabilizing the tax rate. It serves as a financial management tool to identify and set aside funds for future capital needs. Sound financial policies and procedures are vital in financing capital improvement programs. Various options to finance a capital improvement program are discussed below:

Capital Reserve Funds – a capital reserve fund is a separate accounting fund that may be established only by the governing board and that is used to accumulate or save monies for future capital projects or expenditures.

Reserve Fund Balance – allows for more flexibility than a capital reserve fund in that money must be utilized for the purpose/project identified. Fund balance reserve could possibly be set as a percentage of the general fund budget.

Debt Policy – 1) General obligation debt (excluding enterprise fund debt) will not exceed 8% of the assessed valuation of the taxable property of Warren County (statutory requirement) 2) Total debt service on tax supported debt of the County will not exceed a certain percentage of the total general fund budget (percentage established by the governing board.)

Contingency Reserve – establish a contingency reserve to provide for unanticipated expenditures of a non-recurring nature or to meet unexpected increases in service delivery. Criteria would need to be established for these types of requests. This reserve can be budgeted as a certain percentage of the general fund budget.

# WARREN COUNTY DEBT SUMMARY

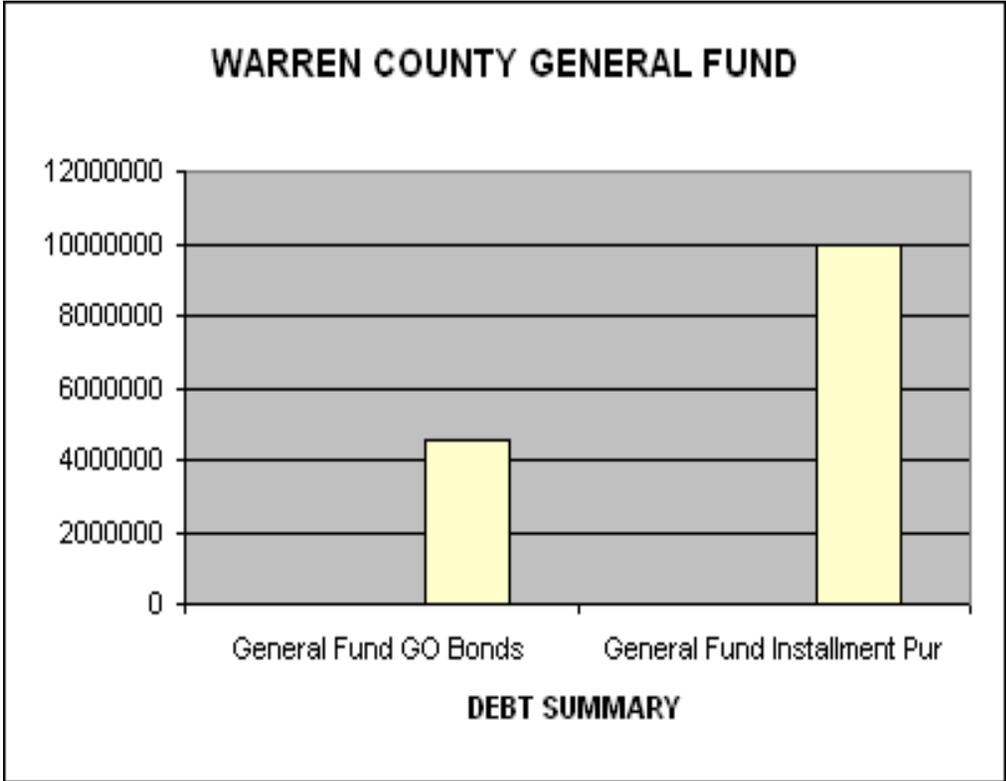
# WARREN COUNTY GENERAL FUND

## General Obligation and Installment Purchase Debt

**FY 09**

Year											Total General Fund	Effect on tax rate currently  1cent= 134,271
	General Obligation Bonds			Installment Purchase Financing								
	9/1/1995 1995 Refunding 3,615,000 Courthouse Jail Mid Sch High Sch	3/4/1991 Pub Impv 500,000 Medical Facility	3/1/2002 2002 Refunding 6,235,000 Middle Sch Elem Sch Courthouse Jail	10/29/1997 3,000,000 John Graham	3/14/2003 2,325,000 Law Enforcement Center	10/1/2006 322,000 Recreation Complex Phase I	10/1/2006 628,000 Animal Control Shelter	9/15/2006 2,214,249 Recreation Complex Phase II	1/16/2008 2,428,520 Warren County Library	Vehicles & Equipment		
08/09	241,750	37,470	691,175	264,307	215,299	32,328	63,063	228,983	258,034	154,982	2,187,391	0.163
09/10	225,750	37,300	660,175	264,307	209,114	31,476	61,401	222,842	251,042	77,048	2,040,455	0.152
10/11		37,070	858,175	264,307	202,930	30,624	59,739	216,701	244,051	36,837	1,950,433	0.145
11/12		37,280	737,375	264,307	196,745	29,772	58,076	210,560	237,060		1,771,175	0.132
12/13		37,400	698,475	132,154	190,561	28,920	56,414	204,419	230,068		1,578,411	0.118
13/14		37,430			184,376	28,068	54,752	198,279	223,076		725,980	0.054
14/15		37,370			178,192	27,215	53,090	192,138	216,085		704,090	0.052
15/16		37,220			172,007	26,363	51,427	185,997	209,094		682,108	0.051
16/17		36,480			165,823	25,511	49,765	179,856	202,102		659,538	0.049
17/18		34,680			159,638	24,659	48,103	173,715	195,111		635,906	0.047
18/19		32,880				23,807	46,441	167,574	188,119		458,821	0.034
19/20		19,080				22,955	44,779	161,434	181,128		429,375	0.032
20/21						22,103	43,116	155,293	174,136		394,649	0.029
21/22								75,344	167,145		242,489	0.018
22/23												
40/41												
	467,500	421,660	3,645,375	1,189,382	1,874,685	353,800	690,166	2,573,135	2,976,251	268,867	14,460,821	

FY 09 Assessed Value	General Fund Debt	Debt % To Assesse Value
1,428,417,105	14,460,821	1.01%



<u>CATEGORY</u>	<u>FY 09</u>	<u>AMOUNT</u>
General Fund GO Bonds		4,534,535
General Fund Installment Purchase		<u>9,926,286</u>
<b>Total</b>		<b>14,460,821</b>

Key:  
GO = General Obligation

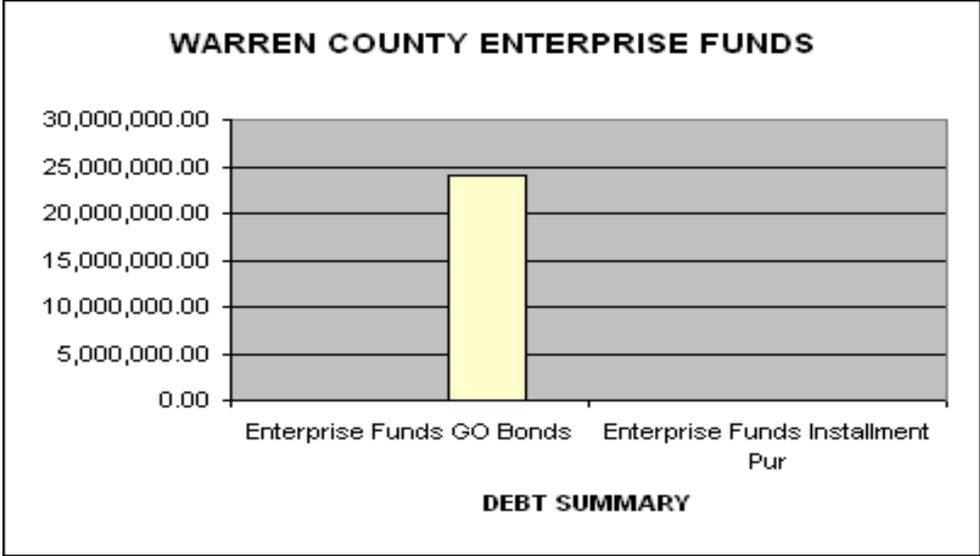
## WARREN COUNTY ENTERPRISE FUNDS

### General Obligation and Installment Purchase Debt

Year	Gen Obligation Bonds				Instlmt Pur Solid Waste Vehicles & Equipment	Total Enterprise Funds
	6/1/1999 1,629,000 District I Water	4/15/2002 2,715,000 District II Water	9/28/2004 3,581,000 District III Water	8/13/2008 4957000 * District III Phase II Water		
07/08	94,680	157,191	199,145		10,523	461,539
08/09	94,540	157,576	200,435	135,801	5,626	593,978
09/10	94,353	157,366	199,590	259,172		710,481
10/11	94,118	157,085	199,700	259,172		710,075
11/12	94,835	157,233	199,720	259,172		710,960
12/13	94,458	157,285	199,650	259,172		710,565
13/14	93,033	157,243	200,490	259,172		709,938
14/15	94,608	157,105	200,195	259,172		711,080
15/16	93,040	157,373	200,810	259,172		710,395
16/17	92,473	157,520	200,290	259,172		709,455
17/18	92,858	157,051	200,680	259,172		709,761
18/19	93,148	157,486	199,935	259,172		709,741
19/20	92,343	157,279	200,100	259,172		708,894
20/21	92,490	157,453	200,130	259,172		709,245
21/22	92,543	157,484	200,025	259,172		709,224
22/23	92,500	157,373	199,785	259,172		708,830
23/24	93,363	157,119	200,410	259,172		710,064
24/25	93,083	157,223	199,855	259,172		709,333
25/26	92,708	157,160	200,165	259,172		709,205
26/27	93,238	157,431	199,295	259,172		709,136
27/28	93,625	157,013	200,290	259,172		710,100
28/29	93,870	157,428	200,060	259,172		710,530
29/30	93,973	157,129	200,650	259,172		710,924
30/31	92,933	157,140	200,015	259,172		709,260
31/32	92,798	157,438	200,200	259,172		709,608
32/33	92,520	157,498	199,160	259,172		708,350
33/34	89,100	157,320	199,940	259,172		705,532
34/35	85,680	151,905	200,450	259,172		697,207
35/36	82,260	146,490	200,690	259,172		688,612
36/37	78,840	141,075	199,660	259,172		678,747
37/38	75,420	135,660	200,405	259,172		670,657
38/39		130,245	198,835	259,172		588,252
39/40		124,830	193,040	259,172		577,042
40/41		119,415	186,200	259,172		564,787
40/42			179,360	259,172		438,532
40/43			172,520	259,172		431,692
40/44			165,680	259,172		424,852
40/45			158,840			158,840
40/46						
	2,839,431	5,196,622	7,456,400	9,206,821	16,149	24,715,423

- Amortization for District III phase II is an estimate.

The actual amortization from LGC has not been received.



FY 09

CATEGORY	\$ AMOUNT
Enterprise Funds GO Bonds	24,112,457
Enterprise Funds Installment Purchase	<u>5,626</u>
<b>Total</b>	<b>24,118,083</b>

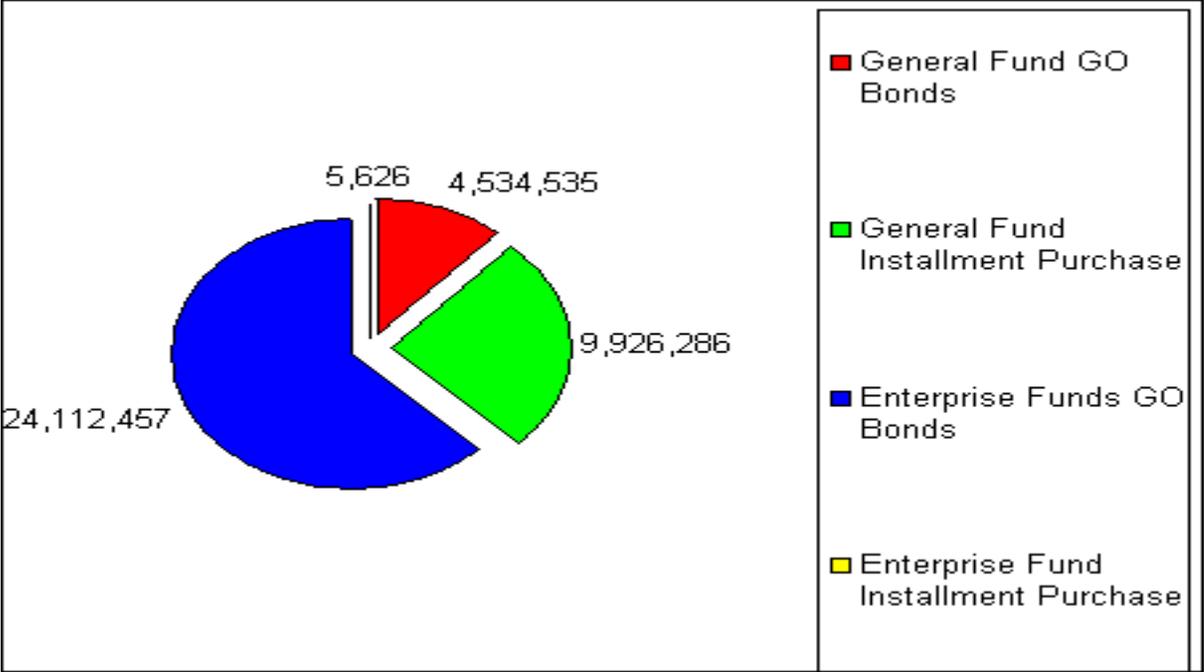
Key:  
GO = General Obligation

**WARREN COUNTY DEBT SUMMARY FY 09-FY 13**

The debt summary provides a snapshot of the County’s existing debt level as of June 30, 2008. This summary is intended to give some perspective and guidance as to prudent amounts of debt to be incurred in addition to existing debt.

	<b>FY 09</b>	<b>FY 10</b>	<b>FY 11</b>	<b>FY 12</b>	<b>FY 13</b>
<b>DEBT TYPE</b>					
<b>General Obligation (GO) Bonds</b>	<b>4,534,535</b>	<b>3,564,140</b>	<b>2,640,915</b>	<b>1,745,670</b>	<b>971,015</b>
<b>Installment Purchase Financing Gen Fund</b>	<b>9,926,286</b>	<b>8,709,290</b>	<b>7,592,060</b>	<b>6,536,871</b>	<b>5,540,351</b>
<b>GO Bonds – Enterprise Funds Districts I, II, III, III Phase 2</b>	<b>24,112,457</b>	<b>23,398,734</b>	<b>22,690,253</b>	<b>21,980,178</b>	<b>21,269,218</b>
<b>Installment Purchase Financing Enterprise Solid Waste Fund</b>	<b>5,626</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>TOTALS</b>	<b>38,578,904</b>	<b>35,672,164</b>	<b>32,923,228</b>	<b>30,262,719</b>	<b>27,780,584</b>

**WARREN COUNTY FY 09 DEBT  
SUMMARY ALL FUNDS**



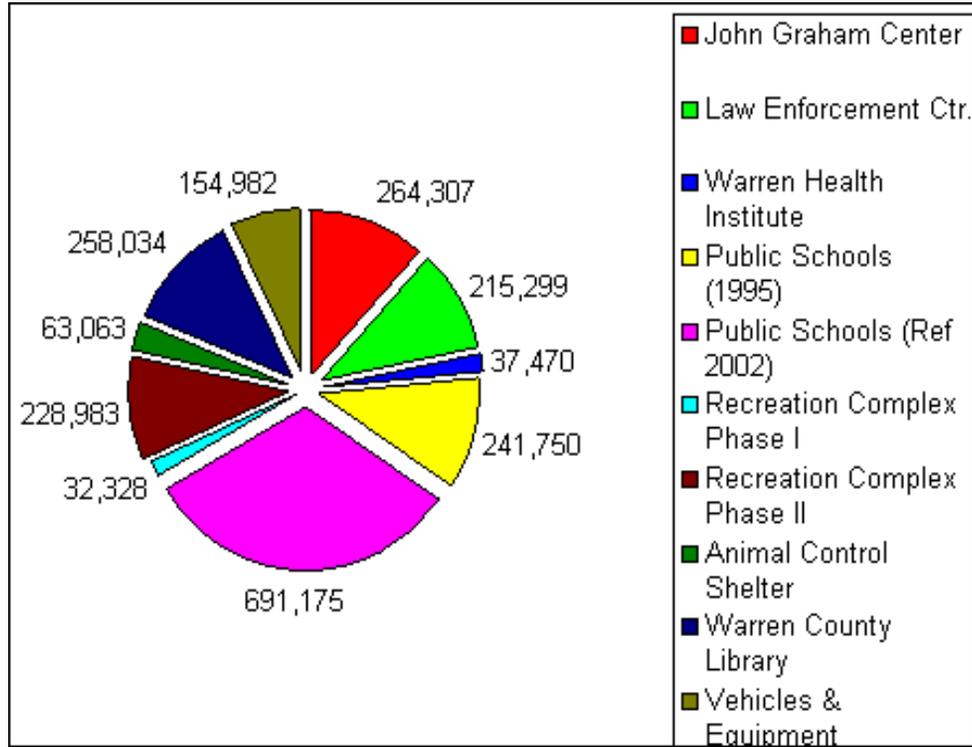
CATEGORY	\$ AMOUNT	%
General Fund GO Bonds	4,534,535	12%
General Fund Installment Purchase	9,926,286	26%
Enterprise Funds GO Bonds	24,112,457	63%
Enterprise Funds Installment Purchase	5,626	0%
<b>TOTAL</b>	<b>\$38,578,904</b>	<b>100.00%</b>

## WARREN COUNTY FY 08-FY13 DEBT SERVICE SUMMARY

The debt service summary shows the annual debt payments (principal and interest) associated with each debt issuance in the General Fund. This summary gives the Board some perspective of the ongoing costs associated with debt issuance as it relates to financing capital needs.

<u>Capital Projects</u>	<u>2007-08</u>	<u>2008-09</u>	<u>2009-10</u>	<u>2010-11</u>	<u>2011-12</u>	<u>2012-13</u>
<b>John Graham Center</b>	264,307	264,307	264,307	264,307	264,307	132,154
<b>Law Enforcement Center</b>	221,483	215,299	209,114	202,930	196,745	190,561
<b>Warren Health Institute</b>	37,050	37,470	37,300	37,070	37,280	37,400
<b>Public Schools (1995)</b>	258,000	241,750	225,750	0	0	0
<b>Public Schools (Refunding 2002)</b>	726,300	691,175	660,175	858,175	737,375	698,475
<b>Recreation Complex Phase I</b>	33,180	32,328	31,476	30,624	29,772	28,920
<b>Recreation Complex Phase II</b>	235,124	228,983	222,842	216,701	210,560	204,419
<b>Animal Control Shelter</b>	64,725	63,063	61,401	59,739	58,076	56,414
<b>Warren County Library</b>	265,025	258,034	251,042	244,051	237,060	230,068
<b>Vehicles &amp; Equipment</b>	156,014	154,982	77,048	36,837	0	0
<b>TOTAL</b>	<b>2,261,209</b>	<b>2,187,391</b>	<b>2,040,455</b>	<b>1,950,434</b>	<b>1,771,175</b>	<b>1,578,411</b>

**WARREN COUNTY CAPITAL PROJECTS FY 09  
DEBT SERVICE SUMMARY**



CATEGORY	AMOUNT	%
John Graham Center	264,307	12%
Law Enforcement Ctr.	215,299	10%
Warren Health Institute	37,470	2%
Public Schools (1995)	241,750	11%
Public Schools (Ref 2002)	691,175	32%
Recreation Complex Phase I	32,328	1%
Recreation Complex Phase II	228,983	10%
Animal Control Shelter	63,063	3%
Warren County Library	258,034	12%
Vehicles & Equipment	154,982	7%
<b>TOTAL</b>	<b>\$2,187,391</b>	<b>100%</b>

WARREN COUNTY  
CAPITAL IMPROVEMENT PROJECTS  
FY 09 – FY 13

GENERAL FUND

PARKS AND RECREATION

REQUESTING AGENCY:  
WARREN COUNTY PARKS & RECREATION

PROJECT DESCRIPTION: Projects in accordance with Board approved Comprehensive Recreation Plan for Warren County.

	TOTAL PROJECT BUDGET	PRIOR YEAR EXPENSES	CURRENT YTD EXPENSES	PLANNING YEAR FY 09	PLANNING YEAR FY10	PLANNING YEAR FY 11	PLANNING YEAR FY 12	PLANNING YEAR FY 13
Rec Complex Phase II	2,978,952		2,978,952					
Satellite Parks:								
Buck Springs Camp	30,500			24,500	6,000			
Satellite Park – Shocco	20,000				20,000			
Satellite Park – Roanoke	50,000			50,000				
Magnolia-Ernest Complex-Soul City	80,000			80,000				
<b>TOTAL</b>	<b>3,159,452</b>		<b>2,978,952</b>	<b>154,500</b>	<b>26,000</b>			

REVENUE SOURCES

Fund Balance	116,000			90,000	26,000			
Installment Financing	2,214,249		2,214,249					
Federal/State Trails Program	14,500			14,500				
Federal/State Trust Fund	695,000		695,000					
Sales Tax Refund	61,167		61,167					
Investment Earnings	8,536		8,536					
Grants/Fundraising	50,000			50,000				
<b>TOTAL</b>	<b>3,159,452</b>		<b>2,978,952</b>	<b>154,500</b>	<b>26,000</b>			

GENERAL FUND

GENERAL COUNTY PROJECTS

REQUESTING AGENCY:  
BUILDINGS & GROUNDS MANAGER

PROJECT DESCRIPTION: Projects are in accordance with the Board of Commissioners' priority to create a comprehensive facilities maintenance plan. Particular emphasis is placed on short and long-range facility needs.

	TOTAL PROJECT BUDGET	CURRENT YTD EXPENSES	PLANNING YEAR 2008-09	PLANNING YEAR 2009-10	PLANNING YEAR 2010-11	PLANNING YEAR 2011-12	PLANNING YEAR 2012-13	BALANCE THRU 2022
<b><u>GENERAL COUNTY PROJECTS:</u></b>								
Armory Renovations (Multi-Purpose Facility)	980,000		980,000					
Health Department Roof Repairs	80,000			80,000				
Mental Health Building Renovations	70,000		70,000					
John Graham Gym Renovations	50,000			50,000				
Old Library Renovations for Tax Office	85,000			85,000				
EMS Satellite Facilities	550,050	22,050	264,000	264,000				
Courthouse Annex Bldg. Renovations	250,000	83,059	166,941					
<b>TOTALS</b>	<b>2,065,050</b>	<b>105,109</b>	<b>1,480,941</b>	<b>479,000</b>				

**REVENUE SOURCES:**

GENERAL FUND	130,000			130,000				
CAPITAL RESERVES	272,050	105,109	166,941					
INSTALLMENT PURCHASE FINANCING	783,000		434,000	349,000				
GRANTS & OTHER	880,000		880,000					
<b>TOTALS</b>	<b>2,065,050</b>	<b>105,109</b>	<b>1,480,941</b>	<b>479,000</b>				

GENERAL FUND

LIBRARY/COMMUNITY MEETING ROOM

REQUESTING AGENCY:  
BOARD OF COMMISSIONERS

PROJECT DESCRIPTION: Construction of new Library Facility to replace current Library.

	TOTAL PROJECT BUDGET	PRIOR YEAR EXPENSES	CURRENT YTD EXPENSES	PLANNING YEAR FY 09	PLANNING YEAR FY 10	PLANNING YEAR FY 11	PLANNING YEAR FY 12	PLANNING YEAR FY 13
<u>EXPENDITURES:</u>								
Demolition of A&P Bldg.	46,432		46,432					
Asbestos Removal	13,815		13,815					
Architectural Fees	194,431		169,024	25,407				
Additional Services	15,000		7,686	7,314				
Legal & Administrative	5,000		4,350	650				
Construction	2,423,715		736,200	1,687,515				
Furniture & Fixtures	200,000			200,000				
Contingency	89,859			89,859				
Transfer to Other Funds	250,000		250,000					
<b>TOTAL</b>	<b>3,238,252</b>		<b>1,227,507</b>	<b>2,010,745</b>				
<u>REVENUE SOURCES:</u>								
Transfer from General Fund	325,402		310,402	15,000				
Transfer from Capital Reserve	186,545		186,545					
Proceeds from Installment Financing	2,690,235		687,705	2,002,530				
Investment Earnings	36,070		42,855	(6,785)				
<b>TOTAL</b>	<b>\$3,238,252</b>		<b>1,227,507</b>	<b>2,010,745</b>				

GENERAL FUND

EMS SATELLITE FACILITIES

REQUESTING AGENCY:  
BOARD OF COMMISSIONERS

PROJECT DESCRIPTION: Construction of EMS Sub-Stations in various locations in the county to enhance response time of emergency medical services.

	TOTAL PROJECT BUDGET	PRIOR YEAR EXPENSES	CURRENT YTD EXPENSES	PLANNING YEAR FY 09	PLANNING YEAR FY 10	PLANNING YEAR FY 11	PLANNING YEAR FY 12	PLANNING YEAR FY 13
<u>EXPENDITURES:</u>								
Land Acquisition	40,000			20,000	20,000			
Legal & Administrative	4,000			2,000	2,000			
Survey & Site Prep	12,000			6,000	6,000			
Architectural Fees	22,050		22,050					
Construction	440,000			220,000	220,000			
Contingency	32,000			16,000	16,000			
<b>TOTAL</b>	<b>550,050</b>		<b>22,050</b>	<b>264,000</b>	<b>264,000</b>			
<u>REVENUE SOURCES:</u>								
Transfer from General Fund	22,050		22,050					
Proceeds from Installment Financing	528,000			264,000	264,000			
<b>TOTAL</b>	<b>550,050</b>		<b>22,050</b>	<b>264,000</b>	<b>264,000</b>			

GENERAL FUND

COURTHOUSE ANNEX BLDG. RENOVATION PROJECT

REQUESTING AGENCY:  
BOARD OF COMMISSIONERS

PROJECT DESCRIPTION: Renovation of Courthouse Annex Building to house County Judicial functions.

	TOTAL PROJECT BUDGET	PRIOR YEAR EXPENSES	CURRENT YTD EXPENSES	PLANNING YEAR FY 09	PLANNING YEAR FY 10	PLANNING YEAR FY 11	PLANNING YEAR FY 12	PLANNING YEAR FY 13
<u>EXPENDITURES:</u>								
Architectural Fees	11,300		11,300					
Utilities	700		172	528				
Construction Contract	229,903		63,490	166,413				
Telephone System	8,097		8,097					
<b>TOTAL</b>	<b>250,000</b>		<b>83,059</b>	<b>166,941</b>				
<u>REVENUE SOURCES:</u>								
Transfer from General Fund	250,000		83,059	166,941				
<b>TOTAL</b>	<b>250,000</b>		<b>83,059</b>	<b>166,941</b>				

**PROJECT DESCRIPTION:** Renovation of the former National Guard Armory building and grounds to provide a centrally located, well-equipped multi-purpose facility that will become a hub of cultural, social, recreational and business activity.

	TOTAL PROJECT BUDGET	PRIOR YEAR EXPENSES	CURRENT YTD EXPENSES	PLANNING YEAR FY 09	PLANNING YEAR FY 10	PLANNING YEAR FY 11	PLANNING YEAR FY 12	PLANNING YEAR FY 13
<b>EXPENDITURES:</b>								
Design Fees	4,500			4,500				
Architectural/Engineering Fees	63,000			63,000				
Building Repairs/Renovations	817,000			817,000				
Parking Lot Paving	80,000			80,000				
Contingency	15,500			15,500				
<b>TOTAL</b>	<b>980,000</b>			<b>980,000</b>				
<b>REVENUE SOURCES:</b>								
Golden Leaf Grant Funds	800,000			800,000				
DOT Discretionary Funds	80,000			80,000				
Proceeds Installment Purchase	100,000			100,000				
<b>TOTAL</b>	<b>980,000</b>			<b>980,000</b>				

**WARREN COUNTY WATER & SEWER CAPITAL  
IMPROVEMENT PROJECTS FINANCING SCHEDULE**

Fund No.	Project Name	Expenditures			FY 09	FY 10	FY 11	FY 12	FY 13	Years Beyond CIP Period
		Total Budget	to Date							
70	District No. 3 - Ph. I	6,814,600	6,768,339	0	46,261	0	0	0	0	0
71	District No. 3 - Ph. II	10,065,000	7,101,819		2,963,181	0	0	0	0	0
	District No. 3 - Ph III	2,535,000	46	0	0	22,000	1,000,000	1,492,954	20,000	0
75	District No. 2 - Ph. II	444,923	398,734		46,189	0	0	0	0	0
74	Soul City Pump Station Improvements	395,000	47,891	0	347,109	0	0	0	0	0
	Wise Interchange Water and Sewer	424,485	41,140	0	383,345	0	0	0	0	0
	Total	20,679,008	14,357,969	0	3,786,085	22,000	1,000,000	1,492,954	20,000	0

70	DIII, PI									Years Beyond CIP Period
	General Fund (Local Match/Appropriation)									
	General Fund (To be reimbursed by loan)									
	Rural Center	400,000	400,000							
	Loan (USDA)	3,581,000	3,581,000							
	Grant (USDA)	2,621,000	2,574,739		46,261					
	Fire Hydrant Sales	49,400	49,400							
	User Fees	163,200	163,200							
	Total	6,814,600	6,768,339	0	46,261	0	0	0	0	0

<b>71</b>	<b>DIII, PII</b>									<b>Years Beyond CIP Period</b>
	General Fund (Local Match/Appropriation)	9,000	9,000							
	General Fund (To be reimbursed by loan)									
	Rural Center	1,040,000	40,000							
	Loan (USDA)	4,957,000	4,957,000							
	Grant (USDA)	3,955,000	1,991,819		2,963,181					
	Fire Hydrant Sales									
	User Fees	104,000	104,000							
	Total	10,065,000	7,101,819	0	2,963,181	0	0	0	0	0

<b>75</b>	<b>DII, PII</b>									<b>Years Beyond CIP Period</b>
	General Fund (Local Match/Appropriation)	44,923	44,923							
	General Fund (To be reimbursed by loan)									
	Rural Center	400,000	353,811		46,189					
	Loan (USDA)									
	Grant (USDA)									
	Fire Hydrant Sales									
	User Fees									
	Total	444,923	398,734	0	46,189	0	0	0	0	0

<b>74</b>	<b>Soul City Pump Station Improvements</b>									<b>Years Beyond CIP Period</b>
	General Fund (Local Match/Appropriation)	45,000	45,000							
	General Fund (To be reimbursed by loan)									
	Rural Center	350,000	2,891		347,109					
	Loan (USDA)									
	Grant (USDA)									
	Fire Hydrant Sales									
	User Fees									
	Total	395,000	47,891	0	347,109	0	0	0	0	0

										Years Beyond CIP Period
	<b>Wise Interchange</b>									
	General Fund (Local Match/Appropriation)	212,243	41,140		171,103					
	General Fund (To be reimbursed by loan)									
	Rural Center Loan (USDA)	212,242			212,242					
	Grant (USDA)									
	Fire Hydrant Sales									
	User Fees									
	Total	424,485	41,140	0	383,345	0	0	0	0	0

										Years Beyond CIP Period
	<b>District III, Phase III</b>									
	General Fund (Local Match/Appropriation)									
	General Fund (To be reimbursed by loan)		46			-46				
	Rural Center Loan (USDA)	1,615,000				22,046	1,000,000	1,492,954		
	Grant (USDA)	920,000							20,000	
	Fire Hydrant Sales									
	User Fees									
	Total	2,535,000	46	0	0	22,000	1,000,000	1,492,954	20,000	0

<b>Total</b>	<b>Funding Sources</b>									<b>Years Beyond CIP Period</b>
	General Fund (Local Match/Appropriation)	311,166	140,063	0	171,103	0	0	0	0	0
	General Fund (To be reimbursed by loan)	0	46	0	0	-46	0	0	0	0
	Rural Center	2,402,242	796,702	0	605,540	0	0	0	0	0
	Loan (USDA)	10,153,000	8,538,000	0	0	22,046	1,000,000	1,492,954	0	0
	Grant (USDA)	7,496,000	4,566,558	0	3,009,442	0	0	0	20,000	0
	Fire Hydrant Sales	49,400	49,400	0	0	0	0	0	0	0
	User Fees	267,200	267,200	0	0	0	0	0	0	0
	<b>Total</b>	<b>20,679,008</b>	<b>14,357,969</b>	<b>0</b>	<b>3,786,085</b>	<b>22,000</b>	<b>1,000,000</b>	<b>1,492,954</b>	<b>20,000</b>	<b>0</b>

**WARREN COUNTY  
CAPITAL IMPROVEMENT PROJECT FORMS**

DEPARTMENT \_\_\_\_\_

**WARREN COUNTY  
CAPITAL PURCHASE JUSTIFICATION FORM  
FISCAL YEAR 2007-08**

Capital Purchase Request	Expansion (Y) (N)	Replacement SN#	Justification	Committee Recommendation

**BUDGET LINE ITEM INFORMATION:**

Account Number: _____	Amount: _____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____

**Total Cost of Request(s):**     \$ \_\_\_\_\_

**Will the County receive any reimbursement (revenues) for request(s)?** \_\_\_\_\_. **If so, please identify the source, the revenue line item, and the amount.**     **Revenue line item#:** \_\_\_\_\_;     **Revenue Amount:** \_\_\_\_\_.

Submitted by: \_\_\_\_\_ Date: \_\_\_\_\_

**NEW EQUIPMENT REQUEST FORM  
FISCAL YEAR 2007-08**

<b>Equipment Requested</b>	<b>Replacement SN#</b>	<b>Condition</b>	<b>Justification</b>

**BUDGET LINE ITEM INFORMATION:**

Account Number: \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

Amount: \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

**Total Cost Request(s):** \$ \_\_\_\_\_

Will the County receive any reimbursement (revenues) for request(s)? \_\_\_\_\_. If so, please identify the source, the revenue line item, and the amount. Revenue line item#: \_\_\_\_\_; Revenue Amount: \_\_\_\_\_.

Submitted by: \_\_\_\_\_ Date: \_\_\_\_\_



## **TIER CRITERIA**

### **Tier I (5 points) – Must meet at least three criteria**

- A. Replacement of existing equipment. Essential to operation of department and impact on safety.
- B. Any mandates (state, local, etc.) that equipment would meet
- C. How the request if granted would maintain current service levels
- D. How the request would affect the operating budget of the Department

### **Tier II (3 points)**

- A. Service or access to services improvement
- B. Meets only two criteria of Tier I
- C. Impact on efficiency

### **Tier III (1 point)**

- A. An expansion item that does not have an impact on the service or access to service improvement

